IN THE UNITED STATES PATENT AND TRA Prior Examiner: Prior Application Serial No.: _ Prior Group Art Unit: Prior Application Filed: In Re Application of: Way, Frederic L. II et al. Group Art Unit: 3618 Serial No.: 09/686,235 Examiner: Klebe, Gerald B. Dkt. No: TS097-cipSLED Filed: October 11, 2000 Gravity Driven Steerable Vehicle Paper No. 8 For: Attention Office of Petitions To: RECEIVED Mail Stop Petition SEP 0 9 2003 Commissioner for Patents P.O. Box 1450 OFFICE OF PETITIONS Alexandria, Virginia 22313-1450 Certificate of mailing (37 C.F.R. 1.8(a)) I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage for first class mail in an envelope addressed to: Mail Stop Petition, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 228 13-1450.

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY/UNINTENTIONALLY UNDER 37 CFR 1.137 (b), 37 CFR

Reg. No. 31,348

Retund Ref 09/24/2003

AKELLEY 0000131012

Dear Sir:

1.155 (c) OR 37 CFR 1.316 (c) -

PATENT OFFICE'S FAILURE TO ACKNOWLEDGE AND NOTE RECEIPT OF
THE RESPONSE TO THE NOTICE OF NON-COMPLIANT AMENDMENT

RESPONSE FILED BY FACSIMILE ON SEPTEMBER 19, 2002

The above-identified application became abandoned, according to the Notice of

Abandonment, (date of mailing of April 08, 2003 as Paper No. 7) for failure to timely file a
response to the Office Action/ Notice of Non-Compliant Amendment (37 CFR 1.121) mailed on

September 03, 2002, which set a period of 1 month or 30 days from the mailing date of the

Notice period for response. The abandonment date of this application has not been identified and
can only be presumed to be 6 months following the date of the Notice of Non-Comliant

Amendment the presumed date being therefore March 04, 2003 (i.e., the expiration date of the
period set for response plus any extensions of time obtained therefore).

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION

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Group Art Unit: 3618
Examiner: Klebe, Gerald B.
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ve-noted Office action - Notice of Non-
tember 03, 2002, in which there was
was identified by numeral 3. A clean
onse filed on September 19, 2002 by
n (10) pages including the one (1) cover
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n September 19, 2002

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CTION Rejection or NA
al and unavoidable and not related to
and Trademark Office to properly file
mendment (37 CFR 1.121) mailed on
epared and sent by facsimile on records and facsimile process records

copies of which are provided herewith.

PETITION FOR REVIVAL

In Re Application of: Way, Frederic L. II etal.

Serial No.: 09/686,235

Page 3 Group Art Unit: 3618

Examiner: Klebe, Gerald B.

This application became abandoned because of failure by USPTO to note and file the response sent by facsimile within the allowed time period noted in the Notice of Non-Compliant Amendment mailed to Applicant by USPTO on September 03, 2002.

This application became abandoned unintentionally. This application became abandoned unavoidably.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Date: 09/03/2003

Telephone No. (603) 532-7206

George W Dishong

Attorney for Applicant Reg. No. #31,348

REQUEST OF REFUND OF PETITION FEE FILED HEREWITH

Applicants have included herewith payment of the Petition Fee of \$650.00 only because it is required as a component of the material filed as the Petition to Revive. Because the Abandonment was of no fault of Applicants and Applicants have already submitted considerable payment of fees relevant to this application, Applicants are requesting and expecting to very quickly obtain credit of \$650.00 submitted because of requirement with this Petition. The credit needs to be either non-deposit of the check submitted herewith or a refund check to Applicants' attorney George W. Dishong who has provided the Petition Fee filed herewith.

PETITION FOR REVIVAL

In Re Application of: Way, Frederic L. II etal.

Serial No.: 09/686,235

Page ____4
Group Art Unit: 3618

Examiner: Klebe, Gerald B.

Enclosures:

- [X] This document Petition To Revive Non-Fault of Applicant;
 Unavoidable Abandonment and Unintentional Abandonment including Declaration in
 Support of Petition To Revive Unavoidable Abandonment
- [X] Copy of Fax Cover letter with "9/19/2002 7:46AM FROM: Fax Law Office of

 Kathleen Chapman PLLC TO: 1703-872-9326 PAGE: 001 OF 010" all of

 which is printed at the top automatically from sending the documents and appears at the

 top of each document sent therewith see top of all pages 002 to 010
- [X] Copy of Response Transmittal Letter pages 002 and 003 with

 Certificate of Facsimile Transmission dated 09/19/2002 see page 002
- [X] Copy of Response "CLEAN COPY OF CLAIMS AS AMENDED WITH ELECTION:"

 pages 004 010 with Certificate of Facsimile Transmission dated 09/19/2002
 see page 004
- [X] Copy of Telephone Account Statement showing under Directly Dialed No. 13 thereof on 09/19 at 7:40 am Alexandria VA #703 872 9326
- [X] Copy of Fax Communications with Patent Customers downloaded from USPTO web cite having advice thereon "Patent Customers Advised to FAX Communications to USPTO" and the fax number for TC3600 Before Final given as 703-872-9326 the number used by Applicants on 9/19/2002 in the timely sent response to the
 - Notice of Non-Compliant Amendment mailed to Applicant by USPTO on 9/03/2002.
- [X] Copy of Notice of Non-Compliant Amendment mailed to Applicant by USPTO on September 03, 2002.
- [X] Copy of Notice of Abandonment to Applicants as paper no. "7" date mailed 04/08/2003
- [X] Required Petition Fee payment by Check No. 4780 Amount \$650.00

[X] Return Receipt Card

Respectfully submitted,

George W. Dishong
Attorney for Applicant

Registration No. 31,348

George W. Dishong Esq. DISHONG LAW OFFICE

40 Bryant Road

Jaffrey, N.H. 03452; Tel. No. (603) 532-7206 FAX No. (603) 532-7303

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/686,235	10/11/2000	Frederic L. Way II	TS097-cipSLED	6103	
75	90 09/03/2002				
George W. Dis DISHONG LAV			EXAM	NER	
40 Bryant Road Jaffrey, NH 03			KLEBE, G	ERALD B	
Jamey, NH 03	1432		ART UNIT	PAPER NUMBER	
			3618	4	
			DATE MAILED: 09/03/2002	,	

Please find below and/or attached an Office communication concerning this application or proceeding.

PAN # 103-872-9326

Gled restorse on 9/19/02 by Pay to ___

Electronic copies of Jung Liting > George on 9/19/02





UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, DC 20231 www.usplo.gov

Paper No. G

Notice of Non-Compliant Amendment (37 CFR 1.121)

	-
Sept. 1	The amendment filed onis considered non-compliant because it has failed to meet the ements of 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77 19, 2000). In order for the amendment to be compliant, applicant must supply the following omissions or corrections ponse to this notice.
THE F	FOLLOWING ITEMS ARE REQUIRED FOR COMPLIANCE WITH RULE 1.121 (APPLICANT NEED NOT RE- TIT THE ENTIRE AMENDMENT):
	1. A clean version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(ii).
	2. A marked-up version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(iii).
	3. A clean version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(i).
	4. A marked-up version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(ii).
Explan	nation:
nttp://	ther explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at www.uspto.gov/web/offices/dcom/olia/pbg/sampleaf.pdf. A condensed version of a sample amendment it is attached.
	PRELIMINARY AMENDMENT: Unless applicant supplies the omission or correction to the preliminary amendment in compliance with revised 37 CFR 1.121 noted above within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
Ø	AMENDMENT AFTER NON-FINAL ACTION: Since the above-mentioned reply appears to be bona fide, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to supply the omission or correction noted above in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).
Togali	Williams
regai i	Instruments Examiner (LIE)
(Rev. 12	2/01)

M P E

B O O K M A D

Changes to the Patent Rules

October 20, 2000

Volume 1, Issue 3

This is the third in a series of Patent News Bulletins to assist you in keeping up to date with significant rule changes which affect your area.

Keep this copy to use as a bookmark for your present MPEP, or view this bulletin again on the USPTO Website.



Simplified Amendment Practice. Replacement paragraphs/sections/claims to be used. 37 CFR 1.121

The rule package
"Changes to the Patent
Business Goals - Final
Rule," published in the
Federal Register on
September 8, 2000, 65
Fed. Reg. 54603 (Sept.
8, 2000), and the Official
Gazette on
September 19, 2000,
1238 Off. Gaz. Pat. Office 77 (September 19,
2000). The PBG rule
package makes a number
of revisions to Title 37.

The entire final rule may be found at the USPTO Website at http://www.uspto.gov/web/offices/dcom/olia/pbg/index.html.

Areas and individuals primarily affected by this rule change include:
(1) Patent Examiners and Tech Support Staff in the Technology Centers
(2) Office of Patent Publication

Any questions related to this change in practice should be directed to Joe Narcavage, Special Projects Exr., (703-305-1795) or Liz. Dougherty, Legal Advisor, (703-306-3156) OPLA.

Mandatory compliance with the revised rule is not required until March 1, 2001. It is suggested that applicants adopt the revised procedures on or after November 7, 2000, in order to adjust to the changes in amendment practice.

Under the new amendment practice, amendments to the specification must be made by the submission of clean new or replacement paragraph(s), section(s), specification, or claim(s). This practice will provide a specification (including claims) in clean, or substantially clean, form that can be effectively captured and converted by optical character recognition (OCR) scanning during the patent printing process.

The new practice requires applicant to provide, in addition to the clean version of a replacement paragraph/section/claim, a marked-up version using applicant's choice of a conventional

marking system to indicate the changes, which will aid the examiner in identifying the changes that have been made. The marked-up version must be based on the previous version and indicate (by markings) how the previous version has been modified to produce the clean version submitted in the current amendment. The term "previous version" means the version of record in the application as originally filed or from a previously entered amendment.

The following format is suggested in an amendment paper: (1) a clean version of each replacement paragraph/section/claim with clear instructions for entry; (2) starting on a separate page, any remarks/arguments (37 CFR 1.111); and (3) starting on a separate page, a marked-up

version entitled "Version with markings to show changes made."

Applicants will also be able to submit a clean set of all pending claims, consolidating all previous versions of pending claims from a series of separate amendments into a single clean version in a single amendment paper. This submission of a clean version of all of the pending claims will be construed as directing the cancellation of all previous versions of any pending claims. No marked-up version will be required to accompany the clean version where no changes other than the consolidation are being made.

The amended rule encourages issuance of applications with an examiner's amendment without practitioners/applicants having to file a formal amendment. Additions or deletions of subject matter in the specification, including the claims, may continue to be

made in an examiner's amendment at the time of allowance by instructions to make any change at a precise location in the specification or the claims. An examiner's amendment may incorporate a printed copy of a fax or email amendment submitted by applicant. Only that part of the e-mail or fax directed to a clean version, or a portion of, a paragraph/claim to be added should be printed and attached to the examiner's amendment, with a paper copy of the entire e-mail or fax being entered in the file. The electronic version of the e-mail is not required to be saved once the printed e-mail (and any attachments) becomes part of the application file record.

Amendment by paragraph/claim replacement in clean form.

MPEP 714+ & 1302.04





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To our Patent Customers:

In view of delays in mail delivery in recent days, we at the USPTO would like to encourage you to communicate with the USPTO via facsimile. Facsimile transmissions may be used for correspondence as set forth in 37 CFR 1.6 such as: amendments, petitions for extension of time, authorization to charge a deposit account, an IDS, terminal disclaimers, a notice of appeal, an appeal brief, CPAs under 37 CFR 1.53(d), and RCEs.

PTO Form 2038 should be used when authorizing payment by credit card; this form is maintained separate from the file to ensure confidentiality.

The USPTO has recently installed server software that enables us to automatically receive facsimile transmissions and route them to the appropriate groups. No special equipment is needed by our customers to use this system other than a regular facsimile machine. Each Technology Center has its own facsimile numbers associated with our server for Official replies to non-final Office actions and for Official replies to final Office actions. In addition, each Technology Center has a Customer Service Center on our server system and can answer any general application status questions you might have, can provide Examiner information and answer paper queries

The following is a list of all Official Facsimile Numbers for the Technology Centers:

TC1600:		TC2800:	
Before Final: After Final: Customer Service:	703-872-9307	Before Final: After Final: Customer Service:	703-872-9319
TC1700:		TC2900:	·
Before Final: After Final: Customer Service:	703-872-9311	Before Final : After Final: Customer Service:	703-872-9323
TC2100:	2004	TC3600:	
Before Final: After Final: Customer Service:	703-746-7238	Before Final: After Final: Customer Service:	
TC2600:		TC3700:	·
Before Final: After Final: Customer Service:	703-872-9315	Before Final: After Final: Customer Service:	703-872-9303

By using the Official Before Final and After Final numbers, our server system will automatically generate a return receipt that will include the number of pages received as well as the date and time the facsimile was received. Additionally, the return receipt will include an image of the received

cover page. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (see 37 CFR 1.6 and 1.8). Applicants are also advised to retain the return receipt in the event that the Office has no record of the facsimile submission, whether the facsimile submission is a reply to an Office action (37 CFR 1.8(b)), or a continued prosecution application under 37 CFR 1.53(d)) (37 CFR 1.6(f)).

However, the Office currently does not permit new application filings (other than a CPA under 37 CFR 1.53(d)), requests for reexamination, drawings, and certain correspondence set forth in 37 CFR 1.6(d) by facsimile. Applicants, however, are encouraged to file certain applications via the Patent Electronic Filing System. Please refer to our Web Site, at http://www.uspto.gov/ebc/efs/index.html for information regarding our Electronic Filing System and how to use it.

In addition to these methods of communication, the Office is currently reviewing other electronic communication means and will update this site with information as these options are implemented.

DATE:

11/02/2001

Original Signed /s/
Nicholas P. Godici
Acting Under Secretary of Commerce for
Intellectual Property and
Acting Director of the United States Patent and
Trademark Office

Last Modified: 05/31/2002 10:31:48



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/686,235	10/11/2000	Frederic L. Way II	TS097-cipSLED	6103
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George W. Di			ЕХАМГ	NER
DISHONG LAW OFFICES 40 Bryant Road			KLEBE, GE	ERALD B
Jaffrey, NH 03	1432		ART UNIT	PAPER NUMBER
			3618	7
			DATE MAILED: 04/08/2003	/

Please find below and/or attached an Office communication concerning this application or proceeding.

SEP 0 5 200

Notice of Abandorment

Application No.

09/686,235

Applicant(s)

Way II, et al.

Examiner

Gerald Klebe

Art Unit 3618



The MAILING DATE of this communication appears on the cover sheet with the correspondence address
This application is abandoned in view of:
1. Applicant's failure to timely file a proper reply to the Office letter mailed on <u>Sep 3, 2002</u> .
(a) A reply was received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply (including a total extension of time of month(s)) which expired on
(b) A proposed reply was received on, but it does not constitute a proper reply under 37 CFR 1.113(a) to the final rejection.
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
(c) A reply was received on 6/24/02 but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
(d) \(No reply has been received, in response to the letter of 9/3/02
2. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
(a) The issue fee and publication fee, if applicable, was received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
(b) The submitted issue fee of \$ is insufficient. A balance of \$ is due.
The issue fee required by 37 CFR 1.18 is \$ The publication fee, if required by 37 CFR 1.18(d) is \$
(c) \square The issue fee and publication fee, if applicable, has not been received.
3. Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
(a) Proposed new formal drawings were received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply.
(b) No corrected drawings have been received.
4. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. The decision by the Board of Patent Appeals and Interferences rendered on and because the period for seeking court review of the decision has expired and there are no allowed claims. The reason(s) below:
7. The reason(s) below:
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SUPER CONTRACTOR CONTR
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND						
1 Date of Request: 9/23/03 2 Serial/Patent # 09/686, 235						
3 Please refund the following fee(s):		4 PAI NUM	PER MBER	5 DATE FILED	6 AMOUNT	
	Filing				\$	
	Amendment				\$	
	Extension of Time				\$	
	Notice of Appeal/Appeal				\$	
1	Petition	8		9/5/03	\$650 —	
	Issue				\$	
	Cert of Correction/Terminal Disc.				\$	
	Maintenance				\$	
	Assignment				\$	
	0ther		·		\$	
		7 TOTAL AMOUNT OF REFUND \$650		\$650-		
		8 TO BE REFUNDED BY:				
10 RE	ASON:	Treasury Check				
	Overpayment	Credit Deposit A/C #:				
	Duplicate Payment		9			
X	No Fee Due (Explanation):	<u> </u>				
	PTO error					
11 REFUND REQUESTED BY:						
TYPED/PRINTED NAME: E- Janouse TITLE: Per-Afty						
SIGNATURE: 206-9200						
office: 4700						
THIS SPACE RESERVED FOR FINANCE USE ONLY: APPROVED: 1 DATE: 9 24 3						

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

Office of Finance Refund Branch Crystal Park One, Room 802B